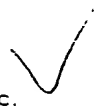


Sector \$



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## FULBRIGHT & JAWORSKI L.L.P.


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February 5, 2002

FILE: UBAT:033US

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:	
February 5, 2002	
Date	John J. Bruckner

### BOX MISSING PARTS

Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application No. 09/964,270 entitled "INDIVIDUALLY ELECTRICALLY ADDRESSABLE CARBON NANOFIBERS ON INSULATING SUBSTRATES" - Michael A. Guillorn et al. (Client reference: 0978)*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed November 6, 2001;
- (2) Declaration;
- (3) Power of Attorney;
- (4) Request for Extension of Time;
- (5) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (6) Check in the amount of \$740.00 and \$564.00; and

Commissioner for Patents

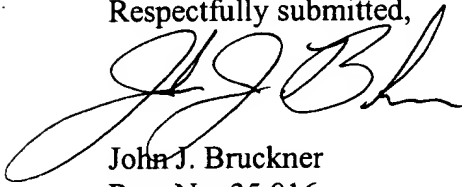
February 5, 2002

Page 2

- (7) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10108673/JJB.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "JJB", with a stylized flourish extending from the end.

John J. Bruckner

Reg. No. 35,816

JJB/mar

Encl: as noted



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Michael A. Guillorn et al.

Serial No.: 09/964,270


Filed: September 26, 2001

For: INDIVIDUALLY ELECTRICALLY  
ADDRESSABLE CARBON  
NANOFIBERS ON INSULATING  
SUBSTRATES

Group Art Unit: 2879

Examiner: Unknown

Atty. Dkt. No.: UBAT:033US/JJB

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February 5, 2002	
Date	John J. Bruckner

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated November 6, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Michael A. Guillorn, Timothy Eric McKnight, Vladimir I. Merkulov, Anatoli Vasilievich Melechko and Michael Leon Simpson;
- (b) A Power of Attorney on behalf of UT-BATTELLE, L.L.C.;
- (c) Our check in the amount of \$740.00 to cover the basic filing fee (\$740.00 - utility large entity); and a check for \$564.00 to cover the surcharge for

late filing (\$130.00); additional claims fee (\$324.00); and one month extension of time fee (\$110.00);

(d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted; and

(e) A Request for Extension of Time of one month to and including February 6, 2002.

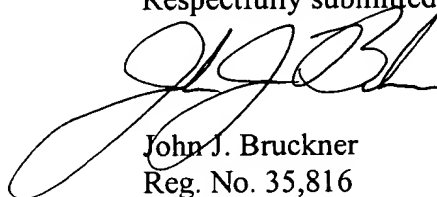
Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to UT-BATTELLE, L.L.C. and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10108673/JJB.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



John J. Bruckner  
Reg. No. 35,816  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: February 5, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,270	09/26/2001	Michael A. Guillorn	UBAT:033US/10108673

CONFIRMATION NO. 9947

## FORMALITIES LETTER



\*OC00000007029522\*

 FULBRIGHT & JAWORSKI L. L. P.  
 A REGISTERED LIMITED LIABILITY PARTNERSHIP  
 SUITE 2400  
 600 CONGRESS AVENUE  
 AUSTIN, TX 78701

Date Mailed: 11/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$324.  
    ■ \$324 for 18 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1164.

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*A copy of this notice MUST be returned with the reply.*

R.B.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE